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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Lek Pharmaceuticals d.d.Application No./Patent No.: 10/599,289

Filed/Issue Date: _____

Titled: GASTRORESISTANT PHARMACEUTICAL DOSAGE FORM COMPRISING N-(2-(2-PHTHALIMIDOETHOXY)-ACETYL)-L-ALANYL-D-GLUTAMIC ACID (LK-423)

2

Lek Pharmaceuticals d.d., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 022412, Frame 0230, or for which a copy therefore is attached.

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- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Monika Le-Good

Printed or Typed Name

Date

Patent Service Specialist

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Lek Pharmaceuticals d.d.

Application No./Patent No.: 10/599,289

Filed/Issue Date: _____

Titled: **GASTRORESISTANT PHARMACEUTICAL DOSAGE FORM COMPRISING N-(2-(2-PHTHALIMIDOETHOXY)-ACETYL)-L-ALANYL-D-GLUTAMIC ACID (LK-423)**

Lek Pharmaceuticals d.d.

, a Corporation

(Name of Assignee)

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OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Gillian McCann
Signature

Gillian McCann

Printed or Typed Name

07-04-09
Date

Patent Service Specialist

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

J:MARK S. GRAHAM COMPANY:P.O. BOX 1871

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MARCH 18, 2009

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NUMBER OF PAGES: 10BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 33705-US-PCT - 64650.US

ASSIGNOR:

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DOC DATE: 02/24/2005

ASSIGNOR:

MRHAR, ALES

DOC DATE: 02/24/2005

ASSIGNOR:

LAVRIC, ANTON

DOC DATE: 02/24/2005

ASSIGNOR:

CERNE, MANICA

DOC DATE: 02/24/2005

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DOC DATE: 02/24/2005

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DOC DATE: 02/24/2005

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022412/0230 PAGE 2

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DOC DATE: 02/24/2005

ASSIGNOR:
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DOC DATE: 02/24/2005

ASSIGNOR:
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DOC DATE: 02/24/2005

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DOC DATE: 02/24/2005

ASSIGNOR:
YONEDA, FUMIO

DOC DATE: 02/24/2005

ASSIGNOR:
MURAOKA, SHIZUKO

DOC DATE: 02/24/2005

ASSIGNEE:
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VEROVSKOVA 5/
1526 LJUBLJANA, SLOVENIA

SERIAL NUMBER: 10599289

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: GASTRORESISTANT PHARMACEUTICAL DOSAGE FORM COMPRISING N-(2-(2-PHTHALIMIDOETHOXY)-ACETYL)-L-ALANYL-D-GLUTAMIC ACID (LK-423)

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PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.103/18/2009
500810126

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Marija Bogataj	02/24/2005
Ales Mrhar	02/24/2005
Anton Lavric	02/24/2005
Manica Cerne	02/24/2005
Doris Tibaut	02/24/2005
Anton Stalc	02/24/2005
Uros Urleb	02/24/2005
Taljana Mateovic	02/24/2005
Greta Cof	02/24/2005
Janez Kerc	02/24/2005
Rok Dreu	02/24/2005
Fumio Yoneda	02/24/2005
Shizuko Muraoka	02/24/2005

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Name:	Lek Pharmaceuticals, d.d.
Street Address:	Verovskova 57
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State/Country:	SLOVENIA

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10599289

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CH \$40.00 10599289

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Correspondent Name: Mark S. Graham
Address Line 1: P.O. Box 1871
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ATTORNEY DOCKET NUMBER: 33705-US-PCT - 64650.US

NAME OF SUBMITTER: Mark S. Graham

Total Attachments: 8

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I / We, the undersigned

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Shizuko MURAOA, Ueda 3-6-20-902, Matsubara City, Osaka 580-0016, Japan, citizen of Japan

inventor(s) of an invention

filed in **Slovenia**

on **March 26, 2004** under No. **P200400094**

hereby assign all right, title and interest in and to that invention, including the right to seek and obtain world-wide patent protection thereon, to **Lek Pharmaceuticals d.d.**,

a body corporate organized according to the laws of Slovenia, of **Verovskova 57, 1526 Ljubljana, Slovenia** (and to claim priority(ies) from the said application(s)).

Dated with effect from **February 24, 2005**

Marija BOGATAJ

Ales MRHAR

Anton LAVRIC

Manica CERNE

Doris TIBAUT

Anton STALC


Uros URLEB

Tatjana MATEOVIC

Greta COF

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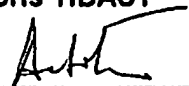
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Marija BOGATAJ



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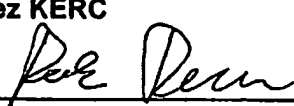
Anton STALC

Uros URLEB


Tatjana MATEOVIC

Greta COF 

Janez KERC


Rok DREU

Fumio YONEDA

Shizuko MURAOKA

Attestation

I, the undersigned notary public of Basel, Switzerland, certify herewith the authenticity of the signature of **Mr. Anton Lavric**, Slovenian citizen, residing in Skofljica, Slovenia.

The authenticity of the signature was established by means of comparison.

Basel, Switzerland, this 24th (twenty-four) day of November 2006 (twothousandandsix)



M. Staehelin, Notar

Leg.Prot.Nr. 1611 /2006

FOR TRUE COPY

BASEL, Switzerland, this 24th (twenty-fourth) day of November 2006 (twothousandandsix)

M. Staehelin, Notar

Dr. M. Staehelin
Notar

Leg. Prot. Nr. 1613/2006



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Date Mailed: 10/20/2008

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